

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: John G. Tracy et al.
Serial No.: To Be Assigned
Filed: Concurrently Herewith
For: POWER CONVERSION APPARATUS AND METHODS USING DC BUS SHIFTING

Date: January 23, 2004

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

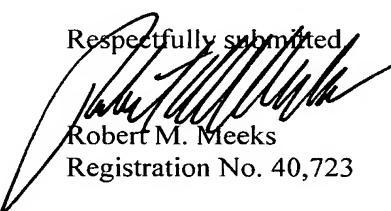
INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

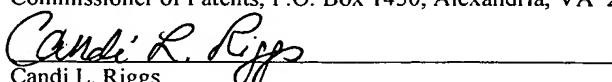

Robert M. Meeks
Registration No. 40,723

Myers Bigel Sibley & Sajovec, P.A.
P. O. Box 37428
Raleigh, North Carolina 27627
Telephone: (919) 854-1400
Facsimile: (919) 854-1401
Customer No. 20792

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Candi L. Riggs

<p>Substitute form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 1</p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>To Be Assigned</td> </tr> <tr> <td>Filing Date</td> <td>Concurrently Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>John G. Tracy</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td colspan="2">Attorney Docket Number</td> </tr> </table>		Application Number	To Be Assigned	Filing Date	Concurrently Herewith	First Named Inventor	John G. Tracy	Group Art Unit		Examiner Name		Attorney Docket Number	
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Attorney Docket Number																	
				9060-204													

U.S. PATENTS AND PATENT PUBLICATIONS

FOREIGN PATENT DOCUMENTS

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Signature _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.